

RECEIVED **CENTRAL FAX CENTER**

Michael Best & Friedrich LLP Attorneys at Law Mitwaukee Office 100 East Wisconsin Avenue Suite 3300 Milwaukee, WI 53202-4108 Phone 414.271.6560 Fax 414.277.0656

SEP 1 2 2005

FACSIMILE TRANSMISSION

DATE:

September 12, 2005

PLEASE DELIVER ASAP PLEASE ACKNOWLEDGE RECEIPT OF THIS FACSIMILE TRANSMISSION

10:		
NAME	FAX No.	PHONE NO.
Examiner Nguyen United States Patent and Trademark Office	703-872-9306	571-273-3745

FROM:

Ellen Webb

PHONE:

414.347.4747

SENT BY:

Ellen Webb

EXTENSION:

5241

LOCATION: 29SE

RE:

Information Disclosure Statement

Patent Application of Robert G. Penlesky, et al.

Serial No. 10/719,446 Filed: November 21, 2003 Examiner: Ninh H. Nguyen

NUMBER OF PAGES, INCLUDING COVER: 58

018695-9334-00 CLIENT-MATTER NUMBER:

SENDER'S ACCOUNT NUMBER

047

Notes/Comments:

Examiner Nguyen,

Pursuant to our discussion today, a copy of the Information Disclosure Statement originally filed on June 10, 2005 is attached for your review and acknowledgement. Please review and initial the entries, and return an initialed copy for our files. If you have any comments or questions please call me at the number shown above. Thank you.

Ellen Webb

SEP 1 4 2005

THE INFORMATION CONTAINED IN THIS FACSIMILE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, OR MAY BE PROPRIETARY CONFIDENTIAL INFORMATION OF A CLIENT, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT. YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

> IF YOU DO NOT RECEIVE ALL OF THE PAGES, OR IF YOU EXPERIENCE FAX TRANSMISSION PROBLEMS, PLEASE CALL FAX DEPARTMENT AT 414.271.6560, EXT. 5467 AS SOON AS POSSIBLE.

Ø1002

SEP 1 2 2005

I, Tijuana M. Jackson, hereby certify that this correspondence is

being transmitted via facsimile to the Commissioner for Patents on

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Group Art Unit 3745

the date of my signature.

In re

1

Patent Application of

Robert G. Penlesky, et al.

Application No. 10/719,466

Confirmation No.: 2595

Filed: November 21, 2003

Examiner: Ninh H. Nguyen

"MODULAR VENTILATING EXHAUST FAN ASSEMBLY AND METHOD"

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A and/or PTO/SB/08B and copies of non-U.S. patent references are attached.

Citation of these references is respectfully requested.

No concession is made that these documents are prior art, and Applicants expressly reserve the right to antedate the documents as may be appropriate.

Please charge Deposit Account No. 13-3080 for the required fee of \$180.00.

Respectfully submitted

Reg. No 51,232

File No. 018695-9334-00 Michael Best & Friedrich LLP 100 East Wisconsin Avenue Suite 3300 Milwaukee, Wisconsin 53202-4108 414.271.6560

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<u>Unde</u>	er the Paperwork Re	eduction Act of 19	25, no persons are	required to respond to a collection of	information unless it contains a value OND control number.	
Subs	titute of form 1449/	тто			Complete if Known	
INFORMA	TION DISCLO	STIRE STAT	EMENT BY	Application Number	10/719,466	
HITORIEA		CANT		Filing Date November 21, 2003		
				First Name Inventor	Robert G. Penlesky	
				Group Art Unit	3745	
(t	use as many she	ets as necessar	y)	Examiner Name Ninh H. Nguyen		
Ch4	1	of	6	Attorney Docket Number	018695-9334-00	

	U.S.	PATENT DOCUMENTS	
Examiner (nitials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
	1,030,028	Stampahar et al.	6/18/1912
	1,395,833	Kling et al.	11/1/1921
	1,532,635	Osbun	4/7/1925
	1,895,642	Preble	1/31/1933
·	1,941,450	Sylvan	1/2/1934
	2,019,867	Nelson	11/5/1935
	2,108,283	Drew et al.	2/15/1938
	2,189,008	Kurth	2/6/1940
·	2,220,127	Slayter	11/5/1940
	2,278,581	Dexter	4/7/1942
	2,325.657	Burkness	8/3/1943
	2,483,377	Young	9/27/1949
	2,562,600	Cadwell ct al.	7/31/1951
	2,668,491	Gerlitz	2/9/1954
	2,673,514	Hanks	3/30/1954
	2,710,573	Marker	6/14/1955
	2,780,981	Miller	2/12/1957
	2,875,678	Sheperd	3/3/1959

. .		
Examiner	Date	
Signature	Considered	
T-0		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

4TO/SB/08A
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Unde	r the Paperwork K	eduction Act of 199	95, no persons are	required to respond to a collection of	miornization unless it contains a valid Olvid control number.
Г	Substitute of form 1449/PTO					Complete if Known
l	INFORMA	TION DISCL	OSURE STAT	EMENT BY	Application Number	10/719,466
	INFORMA.		ICANT		Filing Date	November 21, 2003
L			20,		First Name Inventor	Robert G. Penlesky
ı					Group Art Unit	3745
1	(u	se as many sh	eets as necessar	אינ	Examiner Name	Ninh H. Nguyen
. -	Chant	7	Of	6	Attorney Docket Number	018695-9334-00

	U.S.	PATENT DOCUMENTS	
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
	2,911,900	Rudy	11/10/1959
	2,963,956	Hill	12/13/1960
	2,987,258	North	6/6/1961
	3,002,676	Papsdorf	10/3/1961
	3,045,579	Jenn et al.	7/24/1962
	3,064,548	Field	11/20/1962
	3,068,341	Ortiz et al.	12/11/1962
	3,075,335	Bandlow	1/29/1963
	3,101,662	Alldritt	8/27/1963
	3,211,080	Rader	10/12/1965
	3,212,425	Hazen	10/19/1965
	3,249,037	Stalker	5/3/1966
-	3,250,063	Andrews	5/10/1966
	3,276,597	Mesek et al.	10/4/1966
	3,326,112	Sadlow et al.	6/20/1967
	3,391,689	Roger	7/9/1968
	3,438,180	Klouda	4/15/1969
	3,577,710	Feldman	5/4/1971

	 · · · · · · · · · · · · · · · · · · ·	
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substi	tute of form 1449	PTO			Complete if Known	
INTEGEMAT	FORMATION DISCLOSURE STATEMENT BY			Application Number	10/719,466	
LYPORMAI		CANT	ENTERIN DI	Filing Date November 21, 2003		
	AII D.	CAL		First Name Inventor	Robert G. Penlesky	
				Group Art Unit	3745	
(use as many sheets as necessary)		Examiner Name	Ninh H. Nguyen			
Sheet	3	of	6	Anorney Docket Number	018695-9334-00	

	U.S. PATENT DOCUMENTS					
Examiner Joitials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document			
	3,606,593	Steiner	9/20/1971			
	3,630,007	Neumann	12/28/1971			
	3,636,306	Bumpus	1/18/1972			
	3,665,838	Shepherd	5/30/1972			
	3,692,977	Duhamel et al.	9/19/1972			
	3,698,833	Cann et al.	10/17/1972			
	3,732,030	Mullings	5/8/1973			
	3,743,439	Сапп	7/3/1973			
	3,785,271	Joy	1-15-1974			
	3,788,207	Doherty, II	1/29/1974			
	3,861,894	Marsh	1/21/1975			
	3,926,537	Piper	12/16/1975			
	3,952,638	Felter et al.	4/21/1976			
	4,073,597	Barnhart et al.	2/14/1978			
	4,115,082	Newell	9/19/1978			
	4,141,703	Mulchi	2/27/1979			
	4,252,547	Johnson	2/24/1981			
	4,319,898	Maicrhofer	3/16/1982			
	4,335,647	Timmons	6/22/1982			
	4,336,749	Barnhart et al.	6/29/1982			
-	4,382,440	Kapp ct al.	5/10/1983			
	4,385,911	Popeil et al.	5/31/1983			

Evaminer	Date	
Signature	Considered	
D.B		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substi	itute of form 1449/	PTO			Complete if Known
INFORMAT	MON DISCLO			Application Number	10/719,466
INFORMA				Filing Date	November 21, 2003
	Arribicant		First Name Inventor	Robert G. Penlesky	
			Group Art Unit	3745	
(u	se as many she	ets as necessai	לע	Examiner Name	Ninh H. Nguyen
Sheet	4	of	6	Attorney Docket Number	018695-9334-00

U.S. PATENT DOCUMENTS					
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document		
	4,385,550	Steiner et al.	5/31/1983		
	4,406,216	Hott et al.	9/27/1983		
	4,510,851	Samosky et al.	4/16/1985		
	4,594,940	Wolbrink et al.	6/17/1986		
	4,610,705	Samosky et al.	9/9/1986		
	4,628,802	Steiner	12/16/1986		
	4,630,182	Moroi et al.	12/16/1986		
	4,867,640	Penlesky et al.	9/19/1989		
ļ	5,664,872	Spearman et al.	9/9/1997		
	5,879,232	Luter, If et al.	3/9/1999		
	5,918,972	Van Belle	7/6/1999		
	5,934,783	Yoshikawa	8/10/1999		
	6,027,406	Yazici	2/22/2000		
	6,095,671	Hutein	8/1/2000		
	6,261,175	Larson et al.	7/17/2001		
	6,340,237	Koga et al.	1/22/2002		
	6,488,579	Larson et al.	12/3/2002		
	6,498,423	Bell ct al.	12/24/2002		
_	6,446,404	Bassin	2/10/2002		
	6,367,218	Lombardo	9/4/2002		
	6,330,774	Weinstein	12/18/2001		
_	5,916,102	. Peyton	6/29/1999		

Examiner	Date	
Signature	 Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substi	itute of form 1449/	PTO		Complete if Known	
INFORMAT	TON DISCLO	SURE STAT	EMENT BY	Application Number	10/719,466
III OILIIM		CANT		Filing Date	November 21, 2003
		4		First Name Inventor	Robert G. Penlesky
				Group Art Unit	3745
(u	se as many she	eis as necessai	y)	Examiner Name	Ninh H. Nguyen
Sheet	5	of	6	Attorney Docket Number	018695-9334-00

U.S. PATENT DOCUMENTS						
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cite Document			
	5,816,005	Han	10/6/1998			
	5,590,500	McCue	1/7/1997			
	4,889,572	Danico et al.	12/26/1989			
	4,804,569	Arisawa	2/14/1989			
	4,177,305	Feingold et al.	12/4/1979			
	3,521,418	Bartoloni	7/21/1970			
	3,270,473	Smith	9/6/1966			
	2,580,103	Keller et al.	12/25/1951			
	1,970,054	Nordan	8/14/1934			
	4,073,597	Barnhart et al.	2/14/1978			
	3,926,537	Piper	12/16/1975			
	4,316,999	Nattel	2/23/1982			
Ì	4,335,271	Haslbeck	4/10/1990			
	3,676,571	Rubinstein	7/11/1972			
	4,149,028	Gressitt et al.	4/10/1979			

	<u> </u>	 T			
Examiner		Date			- [
Signature		Considered	İ		
		 14 2 222		 	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Unde	r the Paperwork Re	eduction Act of 19	95, no persons are	required to respond to a collection of	miormanon uniess il contains a vanti Ovid Control number.	
Subst	itute of form 1449/	PTO		Complete if Known		
INFORMA'	TION DISCLO	SURE STAT	EMENT BY	Application Number	10/719,466	
1 2 2 2 2 2 2 2 2		CANT		Filing Date	November 21, 2003	
				First Name Inventor	Robert G. Penlesky	
				Group Art Unit	3745	
(L	ise as many she	ets as necessar	ツ)	Examiner Name	Ninh H. Nguyen	
Sheet	6	of	6	Aπorney Docket Number	018695-9334-00	

U.S. PATENT DOCUMENTS					
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	

FOREIGN PATENT DOCUMENTS

Examiner Initials		Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
	*	FR	1,234,767	NEU SA, France	10/19/1960		
	•	BE	648,597	A.B. BAHCO Corporation	9/15/1964		
		Jb.	11,117,497	MAGUEKKUSU:kk	4/27/1999		х
		CA	1,332,592	Penlesky et al.	10/18/1994		

^{*}Statement of Relevance attached.

T:\clienta\018695\9334\A1198314.1

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

PTO/SB/08B

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Substitute for form 1449B/PTO				Complete if Known	
Substitute for it	m 14498/P10			Application Number	10/719,466	
INFOR	MATION	N DISCL	OSURE	Filing Date	November 21, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Robert G. Penlesky	
SIAIA	AVIENT I			Group Art Unit	3745	
	(use as many she	ets as necessary)	Examiner Name	Ninh H. Nguyen	
Sheet	t	of	l	Artomey Docket Number	018695-9334-00	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.				
		Spartan Electric Company, Direct Drive Whole House Fans Publication, published prior to November 2003.				
		Emerson Environmental Products, Whole House Fan and Shutter System Owners Manual, December 1982.				

T:\CLIENTA\018695\9334\A1198481.1

Examiner	Date	
Signature	 Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

STATEMENT OF RELEVANCE

FR 1,234,767

French Patent No. 1,234,767 appears to disclose a blower assembly having a blower housing, a blower motor, and a radial blower duct.

T:\clienta\018695\9334\A1198487.1